

Interview Summary

Application No.
09/559,320

Applicant(s)
McCable et al

Examiner
Daniel Felten

Art Unit
3624

All participants (applicant, applicant's representative, PTO personnel):

(1) Daniel Felten

(3) _____

(2) Nanda Alapati (Reg. No. 39,893)

(4) _____

Date of Interview Jul 24, 2003

Type: a) ☐ Telephonic b) ☐ Video Conference
c) ☒ Personal [copy is given to 1) ☐ applicant 2) ☒ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No. If yes, brief description:

Claim(s) discussed: 1 and 23

Identification of prior art discussed:

Lau, "Trading Of NASDAQ Stocks On the Chicago Stock Exchange

Agreement with respect to the claims f) ☒ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments:

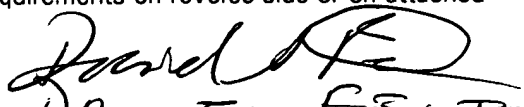
Applicant will remove the word, "substantially " from the claim(s). Further search will be made by the examiner to find art relating to a market specific (not sector specific) trading. Applicant will submit a supplemental response to clarify issues brought up in the interview.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

i) ☐ It is not necessary for applicant to provide a separate record of the substance of the interview (if box is checked).

Unless the paragraph above has been checked, THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached


HANI M. KAZIMI
PRIMARY EXAMINER


DANIEL FELTEN
A.V. 3624
Business Methods
Examiner's signature, if required

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.